

2154



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Douglas B. Quine, et al.

Serial No.: 10/016,875

Filed: December 14, 2001

)

) Attorney Docket No.: F-438

) Group Art Unit:

) Date: March 5, 2002

RECEIVED

MAR 14 2002

Technology Center 2100

For: METHOD FOR DETERMINING A CORRECT RECIPIENT FOR AN
UNDELIVERABLE E-MAIL MESSAGE

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(b) exists.

In accordance with 37 CFR § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).

This Information Disclosure Statement is being filed in accordance with the following provisions, as identified below with marked boxes, available under 37 CFR § 1.97:

1. ☒ 37 CFR §1.97(b) - This Information Disclosure Statement is being filed according to one of the following: (i) within 3 months of the filing date of this application (other than a CPA); (ii) within 3 months of the date of entry of the national stage as set forth in § 1.491 in an international application; (iii) before the mailing of a first Office action on the merits; or (iv) before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.

OR

2. ☐ 37 CFR §1.97(c) - This Information Disclosure Statement is being filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and it is accompanied by the statement or fee as identified below:

2.1 ☐ Specified Statement under 37 CFR § 1.97(e)(1) - see below.

OR

2.2 ☐ Specified Statement under 37 CFR § 1.97(e)(2) - see below.

OR

2.3 ☐ Please charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p).

**** Provisional Fee Authorization.** If Box 2.1 or Box 2.2 is marked above and a final action under § 1.113 has in fact been mailed before the filing of this Information Disclosure Statement, then the Commissioner is authorized to charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p) as if Box 2.3 had been marked.

OR

3. ☐ 37 CFR §1.97(d) - This Information Disclosure Statement is being filed on or before payment of the issue fee and it is accompanied by the statement and fee as identified below:

3.1.1 ☐ Specified Statement under 37 CFR § 1.97(e)(1) - see below.

OR

3.1.2 ☐ Specified Statement under 37 CFR § 1.97(e)(2) - see below.

AND

3.2 ☐ Please charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p).

Specified Statement under 37 CFR § 1.97(e)(1) - Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Specified Statement under 37 CFR § 1.97(e)(2) - No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

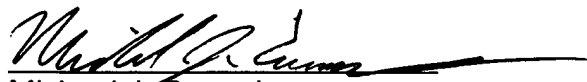
A list of the patent(s) and/or publication(s) is set forth on the attached Form PTO-1449 (Modified).

A copy of each of the items on PTO-1449 (Modified) is supplied herewith.

The Commissioner is hereby authorized to charge any additional fees which may be required to Deposit Account No. **16-1885**.

A duplicate copy of this transmittal is enclosed for use in charging the Deposit Account.

Respectfully submitted,



Michael J. Cummings
Reg. No. 46,650
Attorney of Record
Telephone (203) 924-3934

PITNEY BOWES INC.
Intellectual Property and
Technology Law Department
35 Waterview Drive
P.O. Box 3000
Shelton, CT 06484-8000

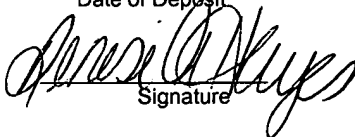
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner of Patents and Trademarks,
Washington, D.C. 20231,

on March 5, 2002
Date of Deposit

Denise Hayes
Name of Rep.


Signature

March 5, 2002
Date

Plus attachments: Form PTO-1449 (Modified)
One copy of each of the references



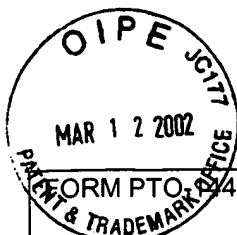
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	FORM PTO-100 (Modified)	Atty. Docket Number F-438	Serial No. 10/016,875
	Applicant Douglas B. Quine, et al.		
	Filing Date December 14, 2001	Group MAR 1 4 2002	

RECEIVED

Technology Center 2100

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5138653	08/11/92	P. LeClercq	379	96	
	AB	5281962	01/25/94	D.P. Vanden	340	825	
	AC	5283856	02/1/94	K.C. Gross	395	51	
	AD	5333152	07/26/94	J.G Wilber	379	98	
	AE	5333266	07/26/94	W. Boaz, et al.	395	200	
	AF	5377354	12/27/94	N.C. Scannell, et	395	650	
	AG	5381527	01/10/95	H.A. Inniss, et al.	395	200	
	AH	5406557	04/11/95	C.R. Baudoin	370	61	
	AI	5428663	06/27/95	G.J. Grimes, et al.	379	57	
	AJ	5436960	07/25/95	T.J. Campana, Jr.,	379	58	
	AK	5455572	10/3/95	G.L. Cannon, et	340	825	
	AL	5479408	12/26/95	C.A. Will	370	94.1	
	AM	5479411	12/26/95	R.M. Klein	379	88	
	AN	5483466	01/09/96	T. Kawahara, et	364	514	
	AO	5487100	01/23/96	J.R. Kane	379	57	
	AP	5495234	02/27/96	J.K. Capp	340	825	
	AQ	5513126	04/30/96	L.E. Harkins, et al.	364	514	
	AR	5555346	09/10/96	K.C. Gross, et al.	395	51	
	AS	5608786	04/04/97	A.T. Gordon	379	100	
	AT	5627764	05/06/97	J.A. Schutzman	364	514	
	AU	5635918	06/03/97	R.J. Tett	340	825	
	AV	5647002	07/08/97	G.R. Brunson	380	49	
	AW	5822526	10/13/98	E.P. Waskiewicz	395	200	
	AX	5844969	12/1/98	S. B. Goldman, et	379	93.24	1/23/97
	AY	5884272	03/16/99	J.S Walker, et al.	705	1	
	AZ	5937161	8/10/99	G.C. Mulligan, et	395	200.36	4/12/96
	AA1	5938725	08/17/99	M. Hara	709	206	
	AA2	5944787	08/31/99	J.M. Zoken	709	206	
	AA3	5961590	10/5/99	D.J. Mendez, et al.	709	206	
	AA4	5968117	10/19/99	R.C. Schuetze	709	206	
	AA5	5978837	11/2/99	M. Foladare, et al.	709	207	9/27/96
	AA6	5987508	11/16/99	S. Agraharam, et	358	402	8/13/97



FORM PTO 249 (Modified)

Atty. Docket Number
F-438

Serial No.
10/016,875

RECEIVED

**INFORMATION DISCLOSURE
STATEMENT
BY APPLICANT**

Applicant
Douglas B. Quine, et al.

MAR 14 2002

Filing Date
December 14, 2001

Group Technology Center 2100

AAM	The Washington Post, Business, "The Download, The address you leave behind", Shannon Henry, March 15, 2001
AAN	Return Path, "Purelist™ and email list optimization service"
AAO	Return Path, "ECOATM and email change-of-address service"
AAP	Return Path, "Seven Techniques to Improve Email List Integrity", Tim Dolan, May 18, 2001
AAQ	Opt-innews™, "Return Path Grows To 90 Million Signing Brooks Brothers, Radisson & Publishers Clearinghouse", May 17, 2001
AAR	ReturnPath, "About Us", November 27, 2001, 11 pages
AAS	ReturnPath, "ECOATM Email Change-of-Address",
AAT	ReturnPath, "ECOATM and PureList™, May 17, 2001, 15 pages
AAU	ReturnPath, "SmartBounce™", What is SmartBounce?, January 10, 2001, 7 pages

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket Number F-438	Serial No. 10/016,875
	Applicant Douglas B. Quine, et al.	
	Filing Date December 14, 2001	Group

AA7	6035327	3/7/00	D. Buckley, et al.	709	206	12/8/97
AA8	6049291	4/11/00	D. Kikinis	340	825.44	7/29/97
AA9	6088720	7/11/00	P.A. Berkowitz, et	709	206	7/29/97
AA10	6092114	7/18/00	S. Shaffer, et al.	709	232	4/17/98
AA11	6138146	10/24/00	B.G. Moon, et al.	709	206	
AA12	6157945	12/5/00	P.J. Balma, et al.	709	206	

FOREIGN PATENT OR PUBLISHED PATENT APPLICATION DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATIO N
AL						
AM						
AN						
AO						
AP						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

AAA	E Veripost, "Search Results", 5 pages, August 15, 2001
AAB	E Veripost, "Changed Your E-mail Address", 3 pages August 20, 2001
AAC	E Veripost, "Keep in Touch After Graduation with Veripost", 2 pages, April 30, 2001
AAD	E Veripost, "Have you Changed your E-mail Address", 12 pages, March 30, 2001
AAE	Computermail.net, www.email addresses.com, "Free Email Address Directory", May 10, 2001
AAF	Computermail.net, <u>www.emailaddress.com</u> , "Finding Email Address", May 10, 2001
AAG	Computermail.net, <u>www.emailaddress.com</u> , "Large Email Directories", May 10, 2001
AAH	IMarketing News, iMarketingNews.com/news/article, Jupiter Retailing Forum, "ActiveNames Is Latest Victim of Economic Slowdown, May 21-22, Chicago
AAI	ActiveNames™, <u>www.activenames.com</u> , "About Us", January 10, 2001, 24 pages
AAJ	Re-route.com, <u>www.re-route.com</u> , "Re-route E-mail Forwarding Service, March 12, 2001, 19 pages
AAK	Wall Street Journal, Market Place, E-World, "Many Internet Users Find Themselves Stuck at Their Old Address", by Julia Angwin, August 28, 2000
AAL	Internet Solutions, "Email for Life", "Here's how to keep the same e-mail address through all of life's changes", Sheryl Canter, PC Magazine, March 21, 2000